

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
**INFORMATION DISCLOSURE STATEMENT**  
 UNDER 37 CFR §1.56, §1.97, and §1.98

PTO-1449 FORM

SHEET 1 OF 4

ATTORNEY DOCKET NO.:

R0368-03100

SERIAL NO.:

10/718,222

APPLICANTS:

Burbank et al.

EXAMINER:

Not yet assigned

FILING DATE:

November 20, 2003

GROUP ART UNIT:

3763

**U.S. PATENT DOCUMENTS**

*EX'R INITIAL	*REF. #	PATENT NUMBER	DATE (MO/YR)	NAME	U.S. CLASS/ SUBCLASS	FILING DATE (If appropriate)
URP	A1	3,209,753	10/65	Hawkins et al.		
	A2	3,411,505	11/68	Nobis		
	A3	4,292,960	10/81	Paglione		
	A4	4,428,374	1/84	Auburn		
	A5	4,428,379	1/84	Robbins et al.		
	A6	4,509,528	4/85	Sahota		
	A7	4,650,466	1/87	Luther		
	A8	4,757,823	7/88	Hofmeister et al.		
	A9	4,991,588	2/91	Pflueger et al.		
	A10	4,994,069	2/91	Ritchart et al.		
	A11	5,037,433	8/91	Wilk et al.		
	A12	5,081,997	1/92	Bosley, Jr. et al.		
	A13	5,108,408	4/92	Lally		
	A14	5,201,314	4/93	Bosley et al.		
	A15	5,226,911	7/93	Chee et al.		
	A16	5,261,409	11/93	Dardel		
	A17	5,275,166	1/94	Vaitenkunas et al.		
	A18	5,289,831	3/94	Bosley		
	A19	5,336,229	8/94	Noda, Wayne A.		
	A20	5,336,231	8/94	Adair		
	A21	5,383,922	1/95	Zipes et al.		
	A22	5,456,693	10/95	Conston et al.		
	A23	5,458,596	10/95	Lax et al.		
	A24	5,488,958	2/96	Topel et al.		
	A25	5,496,331	3/96	Xu et al.		
	A26	5,507,744	4/96	Tay et al.		
	A27	5,542,944	8/96	Bhatta		
	A28	5,549,624	8/96	Mirigian et al.		
	A29	5,549,824	7/96	Trumpf et al.		
	A30	5,556,396	9/96	Cohen et al.		
	A31	5,570,692	11/96	Morinaga		
	A32	5,588,960	12/96	Edwards et al.		
	A33	5,614,204	1/97	Cochrum		
	A34	5,658,299	8/97	Hart		
	A35	5,662,676	9/97	Koninckx		
	A36	5,662,680	9/97	Desai		
	A37	5,665,096	9/97	Yoon		
	A38	5,672,153	9/97	Lax et al.		
	A39	5,672,172	9/97	Zupkas		
	A40	5,691,314	11/97	Hodgen		
	A41	5,697,942	12/97	Palti		
	A42	5,702,407	12/97	Kaji		

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SHEET 2 OF 4

ATTORNEY DOCKET NO.:

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**U.S. PATENT DOCUMENTS CONT'D**

† EX'R INITIAL	*REF. #	PATENT NUMBER	DATE (MO/YR)	NAME	U.S. CLASS/ SUBCLASS	FILING DATE (If appropriate)
✓	A43	5,713,896	2/98	Nardella		
	A45	5,713,942	2/98	Stern et al.		
	A46	5,715,832	2/98	Koblish et al.		
	A47	5,716,389	2/98	Walinsky et al.		
	A48	5,720,743	2/98	Bischof et al.		
	A49	5,749,879	5/98	Middleman et al.		
	A50	5,759,154	6/98	Hoyns		
	A51	5,766,135	6/98	Terwilliger		
	A52	5,776,129	7/98	Mersch		
	A53	5,797,397	8/98	Rosenberg		
	A54	5,800,378	9/98	Edwards et al.		
	A55	5,817,022	10/98	Vesely		
	A56	5,836,906	11/98	Edwards		
	A57	5,840,033	11/98	Takeuchi		
	A58	5,895,386	4/99	Odell et al.		
	A59	5,895,395	4/99	Yeung		
	A60	5,899,861	5/99	Friemel et al.		
	A61	5,904,651	5/99	Swanson et al.		
	A62	5,910,484	6/99	Haupert, Jr.		
	A63	5,911,691	6/99	Mochizuki et al.		
	A64	5,921,933	7/99	Sarkis et al.		
	A65	5,941,889	8/99	Cermak		
	A66	5,979,453	11/99	Savage et al.		
	A67	6,013,088	1/00	Karavidas		
	A68	6,015,541	1/00	Greff et al.		
	A69	6,019,724	2/00	Gronningsaeter et al.		
	A70	6,032,673	3/00	Savage et al.		
	A71	6,033,398	3/00	Farley et al.		
	A72	6,034,477	3/00	Peeters et al.		
	A73	6,035,238	3/00	Ingle et al.		
	A74	6,039,693	3/00	Seward et al.		
	A75	6,045,508	4/00	Hossack et al.		
	A76	6,066,139	5/00	Ryan et al.		
	A77	6,077,257	6/00	Edwards et al.		
	A78	6,096,051	8/00	Kortenbach et al.		
	A79	6,106,473	8/00	Violante et al.		
	A80	6,186,947	2/01	Ouchi		
	A81	6,210,330	4/01	Tepper		
	A82	6,231,515	5/01	Moore et al.		
	A83	6,254,601	7/01	Burbank et al.		
	A84	6,261,234	7/01	Lin		

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §1.56, §1.97, and §1.98		ATTORNEY DOCKET NO.:  R0368-03100	SERIAL NO.:  10/718,222
PTO-1449 FORM  SHEET 3 OF 4		APPLICANTS:  Burbank et al.	EXAMINER:  Not yet assigned
		FILING DATE:  November 20, 2003	GROUP ART UNIT:  3763

#### U.S. PATENT DOCUMENTS CONT'D

*EX'R INITIAL	*REF. #	PATENT NUMBER	DATE (MO/YR)	NAME	U.S. CLASS/ SUBCLASS	FILING DATE (If appropriate)
MRP	A85	6,280,441	8/01	Ryan		
↓	A86	6,368,340	4/02	Malecki et al.		
	A87	6,425,867	7/02	Vaezy et al.		

#### FOREIGN PATENT DOCUMENTS

*EX'R INITIAL	*REF. #	PATENT NUMBER	DATE (MO/YR)	COUNTRY	TRANSLATION (YES/NO)
MRP	B1	SU 1 072 859 a	2/84	SOVIET UNION	
↓	B2	EP 0 472 368	2/92	EPO	
	B3	EP 0 598 579	5/94	EPO	
	B4	WO 95/02370	1/95	PCT	
	B5	WO 95/02371	1/95	PCT	
	B6	WO 96/05776	2/96	WIPO	
	B7	WO 96/10365	4/96	WIPO	
	B8	GB 2 302 025	1/97	GREAT BRITAIN	
	B9	GB 2 311 468 A	1/97	GREAT BRITAIN	
	B0	DE 195 28 440 A	2/97	GERMANY	
	B11	WO 97/27897	8/97	WIPO	
	B12	WO 97/47246	12/97	WIPO	
	B13	WO 98/19713	5/98	PCT	
	B14	WO 99/00057	1/99	WIPO	
	B15	EP 1 072 282	1/01	EPO	
	B16	DE 200 22 012 U1	5/01	GERMANY	
↓	B17	WO 02/39904 A1	5/02	WIPO	

#### OTHER DOCUMENTS

*EX'R INITIAL	*REF. #	Document Description (including Author, Title, Date, Pertinent Pages, Etc.)
MRP	C1	Barth, Klemens H. et al., "Long Term Follow-Up of Transcatheter Embolization With Autologous Clot, Oxycel and Gelfoam in Domestic Swine", <i>Investigative Radiology</i> , May-June 1977, Vol. 12, pp. 273-290.
↓	C2	Bateman, William M.D., "Treatment of intractable menorrhagia by bilateral uterine vessel, Interruption", <i>Am. J. Obst. &amp; Gynec.</i> 89(6):825-827 (July 15, 1964).
↓	C3	Brigato, G. et al., "A Noninvasive Instrumental Method in Severe Postpartum Hemorrhages", <i>Minerva Ginecologica</i> 50(7-8):337-339 (1998).
↓	C4	Brohim, Robert M. et al., "Development of Independent Vessel Security After Ligation With Absorbable Sutures or Clips", <i>The American Journal of Surgery</i> , Mar. 1993, Vol. 165, pp. 345-348.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
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PTO-1449 FORM

SHEET 4 OF 4

ATTORNEY DOCKET NO.:

R0368-03100

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APPLICANTS:

Burbank et al.

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3763

**OTHER DOCUMENTS CONT'D**

*EX'R INITIAL	*REF. #	Document Description (including Author, Title, Date, Pertinent Pages, Etc.)
<i>MR</i>	C5	Burbank, Fred et al., "Uterine Artery Occlusion by Embolization or Surgery for the Treatment of Fibroids: A Unifying Hypothesis-Transient Uterine Ischemia", <i>The Journal of the American Association of Gynecologic Laparoscopists</i> , November 2000, Vol. 7, No. 7 Supplemental, pp. S3-S49.
	C6	Fuchs, Karl, "Afibrinogenemia Treated by Ligation of Uterine Arteries", <i>Gynecologic</i> 148:407-411 (1959).
	C7	Garza Leal, J. et al., "Myoma Treatment by Transient Uterine Ischemia", <i>The Journal of the American Association of Gynecologic Laparoscopists</i> 7(3):S31 (August 2000).
	C8	Hay, D.L. et al., "Hemostasis in Blood Vessels After Ligation", <i>Am. J. Obstet. Gynecol.</i> , March 1989, 160:3, pp. 737-739.
	C9	Hunerbein, M. et al., "Endoscopic Ultrasound-Guided Real Time Biopsy of Peri-Intestinal Tumors", <i>Surgical Technology International VII</i> , 1998, pp. 91-95.
	C10	O'Leary, James A., M.D., "Uterine Artery Ligation in the Control of Postcesarean Hemorrhage", <i>The Journal of Reproductive Medicine, Inc.</i> , 40(3):189-193 (March 1995).
	C11	O'Leary, James L., M.D. et al., "Uterine artery ligation in the control of intractable postpartum hemorrhage", <i>Am. J. Obst. &amp; Gynec.</i> 94(7):920-924 (April 1, 1966).
	C12	Ravina, J.H. et al., "Arterial Embolisation to Treat Uterine Myomata", <i>The Lancet</i> , Sept. 9, 1995, Vol. 346, No. 8976, pp. 671-672.
	C13	Schaefer, C.J. et al., "Absorbable Ligating Clips", <i>Surg. Gynecol. Obstet.</i> , 1982, 154:513-516.
	C14	"Mick 200-TP Applicator Package", Mick Radio-Nuclear Instruments, Inc., advertisement.
	C15	"Multiplanar Biopsy Transverse Scan", Bruel & Kjaer Medical Systems, Inc., advertisement.
	C16	"Seeding Device - Proscan Urologic Ultrasound Imaging System", Teknar, advertisement.
	C17	"Sonopsy Ultrasound Guided Breast Biopsy", NeoVision, advertisement.
<i>V</i>	C18	"Transrectal Biopsy of the Prostate Gland", Bruel & Kjaer Medical Systems, Inc., advertisement.

EXAMINER'S SIGNATURE

DATE CONSIDERED

*3/24/06*

\* EXAMINER: Initial if reference is considered, whether or not citation is in conformance with MPEP 609.  
 Line through citation if not in conformance and not considered.  
*Include copy of this form in next communication to applicant.*

If an asterisk is placed beside the reference number, a copy is not provided because the reference was previously cited by or submitted to the PTO in a prior application that is identified in the statement and relied upon for an earlier filing date under 35 U.S.C. 120. 37 C.F.R. 1.98(d).

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O I UNDER 37 CFR §1.56, §1.97, and §1.98

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SHEET 1 OF 1

APPLICANTS:

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## U.S. PATENT DOCUMENTS

† EX'R INITIAL	*REF. #	PATENT NUMBER	DATE (MO/YR)	NAME	U.S. CLASS/ SUBCLASS	FILING DATE (If appropriate)
NRP	A88	3,777,740	12/73	Hokanson		
↓	A89	5,598,841	2/97	Taniji et al.		

## FOREIGN PATENT DOCUMENTS

† EX'R INITIAL	*REF. #	PATENT NUMBER	DATE (MO/YR)	COUNTRY	TRANSLATION (YES/NO)
NRP	B18	GB 2 302 025 A	1/97	Great Britain	
↓	B19	WO 99/11179 A	3/99	WIPO	

## OTHER DOCUMENTS

† EX'R INITIAL	*REF. #	Document Description (Including Author, Title, Date, Pertinent Pages, Etc.)

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## U.S. PATENT DOCUMENTS

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MRP	A90	5,037,430	8/91	Hasson		
↓	A91	US 2002/183771	12/02	Altieri et al.		

## FOREIGN PATENT DOCUMENTS

† EX'R INITIAL	*REF. #	PATENT NUMBER	DATE (MO/YR)	COUNTRY	TRANSLATION (YES/NO)
MRP	B20	WO 02/078521	10/02	WIPO	

## OTHER DOCUMENTS

† EX'R INITIAL	*REF. #	Document Description (including Author, Title, Date, Pertinent Pages, Etc.)
MRP	C19	International Search Report for PCT/US03/35815 mailed June 30, 2004.

EXAMINER'S SIGNATURE

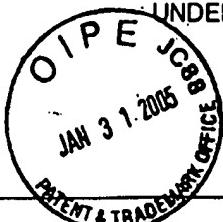
DATE CONSIDERED

3124V06

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SHEET 1 OF 1		APPLICANTS: Burbank et al.	EXAMINER Not yet assigned
		FILING DATE: November 20, 2003	GROUP ART UNIT: 3763



### U.S. PATENT DOCUMENTS

† EX'R INITIAL	*REF. #	PATENT NUMBER	DATE (MO/YR)	NAME	U.S. CLASS/ SUBCLASS	FILING DATE (If appropriate)
NRP	A92	3,779,248	12/73	Karman		
↓	A93	4,226,240	10/80	Walker, Jr.		
↓	A94	5,674,243	10/97	Hale		
↓	A95	5,922,008	7/99	Gimpelson		
↓	A96	2002/0188306	12/02	Burbank et al.		

### FOREIGN PATENT DOCUMENTS

† EX'R INITIAL	*REF. #	PATENT NUMBER	DATE (MO/YR)	COUNTRY	TRANSLATION (YES/NO)
NRP	B21	WO 01/68720	9/01	WIPO	
↓	B22	WO 01/80713	11/01	WIPO	
↓	B23	WO 02/00192	1/02	WIPO	

### OTHER DOCUMENTS

† EX'R INITIAL	*REF. #	Document Description (including Author, Title, Date, Pertinent Pages, Etc.)

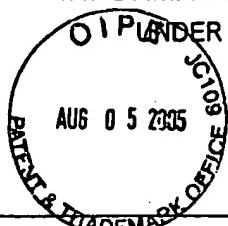
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N	A97	2,400,251	5/46	Nagel		
	A98	4,757,823	7/88	Hofmeister et al.		
	A99	2002/165579	11/02	Burbank et al.		
↓	A100	2003/120306	6/03	Burbank et al.		

FOREIGN PATENT DOCUMENTS

* EX'R INITIAL	* REF. #	PATENT NUMBER	DATE (MO/YR)	COUNTRY	TRANSLATION (YES/NO)
N	B24	FR 1 220 773 A	5/60	France	
↓	B25	EP 0 890 342 A	1/99	EPO	

OTHER DOCUMENTS

* EX'R INITIAL	* REF. #	Document Description (including Author, Title, Date, Pertinent Pages, Etc.)
N	C20	International Search Report for PCT/US2004/038111, mailed May 3, 2005.
↓	C21	Written Opinion for PCT/US2004/038111, mailed May 3, 2005.
↓	C22	Translation of FR 1 220 773.

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